

STATE OF CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

20 Trinity Street Hartford, Connecticut 06106—1628



2015 Filing Calendar

May Town Committees May 4, 2015 Election; March 9, 2015 Primary

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be <u>received</u> by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
January 10 Filing SEEC Form 20 REQUIRED	10/28/14 through 12/31/14	01/12/15 ¹
7 th Day Preceding Primary Filing March 9, 2015 Primary	01/01/15 through 02/28/15	03/02/15 ²
April 10 Filing	03/01/15 through 03/31/15 Committees that filed 7th Day Preceding Primary filing	04/10/15
	01/01/15 through 03/31/15	
	Committees that did not file 7 th Day Preceding Primary filing	
7 th Day Preceding Election Filing May 4, 2015 Election SEEC Form 20 REQUIRED	04/01/15 through 04/25/15	04/27/15 ^{1,3}
July 10 Filing SEEC Form 20 REQUIRED	04/26/15 through 06/30/15	07/10/15 ¹
October 10 Filing SEEC Form 20 REQUIRED	07/01/15 through 09/30/15	10/13/15 ¹
January 10 Filing SEEC Form 20 REQUIRED	10/01/15 through 12/31/15	01/11/161

Additional Filing Requirements: If your committee receives a contribution or makes an expenditure in connection with a local or regional referendum/ballot question, any other primary not listed above, or any other election not listed above (**e.g., a November election, a special election**), you are required to file a financial statement on the 7th day preceding the referendum/ballot question, primary, or election. The period covered will be the first day not covered on the last filed Itemized campaign finance disclosure statement through the 9th day preceding the referendum, primary, or election.

Which Form to File: With the exception of the filings due January 10 and the 7th day preceding the election, a town committee may use the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) when it has not made or received contributions or expenditures in excess of \$1,000 from January 1 of the calendar year through the close of the reporting period covered by the statement and has not previously filed an "Itemized Campaign Finance Disclosure Statement" (SEEC Form 20) for a period covered

in the 2015 calendar year. Thus, May town committees will be required to file SEEC Form 20's for the 7th day preceding the election filing and all statements due for the rest of the year.

Committees should keep in mind that if they are eligible and opt to file SEEC Form 21's covering activity in 2015, they will still be required to report all activity since the beginning of the year when they file their first SEEC Form 20.

Committees using eCRIS will have to change previously filed SEEC Form 21's into SEEC Form 20's and report all prior activity before filing their first planned SEEC Form 20. By way of example, May Town Committee X did not make or receive contributions or expenditures in excess of \$1,000 from January 1 through March 31, 2015. It electronically filed a SEEC Form 21 for the April 10 reporting period. It is now required to file a SEEC Form 20 for the 7th day preceding election report, but it must **first** amend the April 10 report to switch it to a SEEC Form 20 and report any activity which occurred January 1 through March 31, 2015 before it files the a SEEC Form 20 for the 7th day preceding election filing.

If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 20) must cover a period that begins with January 1 as the start date.

A committee may also choose to file SEEC Form 20's throughout the year even if the \$1,000 threshold has not been reached.

Type of Report: The "Type of Report" corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The "Period Covered" means the dates for which the committee must report all of the financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the July 10 filing covers all activity starting at 12:00 a.m. on April 26 and ending at 11:59 p.m. on June 30 (assuming the committee is not spending or receiving in connection with any special election, primary, or referendum during that time).

Filing Deadline: The "Filing Deadline" is the last date on which the committee treasurer may submit the disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the July 10 filing may not be submitted on June 30 or earlier, since it must cover the period ending on June 30. The earliest this report may be submitted is July 1. Statements filed after the applicable deadline of the filing period will be subject to a mandatory \$100 civil penalty.

Where and When to File: Statements are to be filed with the State Elections Enforcement Commission only. Town committees are no longer required to file copies with their town clerks. Statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or if they are *received* by the Commission's offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. *NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee's filing status on eCRIS's Document/Filing Search.

Footnotes:

- 1. Town committees are required to use the Itemized Campaign Finance Disclosure Statement (SEEC Form 20) for the January 10 filing and the 7th Day Preceding Election filing. The Short Form Campaign Finance Disclosure (SEEC Form 21) may not be used for these filings, even if the \$1,000 threshold has not been exceeded for the year. Once a committee files a SEEC Form 20, all subsequent filings must be SEEC Form 20's for the remainder of the year. Therefore, May town committees must file SEEC Form 20's for the July and October quarterly filings as well.
- The 7th Day Preceding Primary filing is required to be filed by town committees that have made or received any contributions or expended any funds or incurred expenditures in connection with the primary, in any amount. The period covered ends two days before the filing deadline.
- 3. The 7th Day Preceding Election filing is required to be filed by all May town committees, regardless of whether or not they received contributions or made or incurred expenditures in connection with the election. The period covered ends two days before the filing deadline.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.